

Fage 1 of 3 2/86

### **FEE TRANSMITTAL FOR FY 2007**

Effective on 10/01/2005. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

TOTAL AMOUNT OF PAYMENT (\$) 0.00Complete if Known: Application No. 10/561,049 September 28, 2006 Filing Date First Named Inventor Vincent Zimmer Examiner Name Art Unit 2186 Attorney Docket No. 42P16112 Applicant claims small entity status. See 37 CFR 1.27. **METHOD OF PAYMENT** (check all that apply) Money Order X None Other (please identify) \_ Check \_\_\_\_ Credit Card **Deposit Account** Deposit Account Number: 02-2666 Deposit Account Name: The Director is Authorized to do the following with respect to the above-identified Deposit Account: Charge fee(s) indicated below. Charge any additional fee(s) or underpayment of fee(s) during the pendency of this application. Charge fee(s) indicated below except for the filing fee Credit any overpayments. Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged. Warning: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **Large Entity Small Entity** Fee Fee Fee Fee Fees Paid (\$) Fee Description Code Code (\$) (\$) 150 Utility application filing fee 1011 300 2011 1,000/500 1111 500 2111 250 Utility search fee Utility examination fee 1311 200 2311 100 2012 100 Design application filing fee 1012 200 430/215 1112 100 2112 50 Design search fee Design examination fee 1312 130 2312 65 1013 200 2013 100 Plant filing fee Plant search fee 660/330 1113 2113 150 300 1313 Plant examination fee 2313 80 160 1004 300 2004 150 Reissue filing fee Reissue search fee 1,400/700 1114 500 2114 250 1314 300 Reissue examination fee 600 2314 1005 2005 Provisional application filing fee 200 100 SUBTOTAL (1) \$ 0.00

2. EXCESS CLAIM FEES						
	Extra Claims	Fee from <u>Fees Paid (\$)</u>				
Independent Claims	ependent claims paid for, if greater than 3	X = X =				
Code (\$) Code (\$ 1202 50 2202 2 1201 200 2201 10 1203 360 2203 18 1204 200 2204 10	ee  (i) <u>Fee Description</u> (25 Each claim over 20 (30 Each independent claim over 3 (30 Multiple dependent claims, if not paid (30 Reissue: each claim over 20 and more the Reissue: each independent claim each independent each each independent each each each each each each each each					
3. <u>APPLICATION SIZE FEE</u> If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).						
Total Sheets E	Number of each add'l  stra Sheets 50 or fraction thereof	Fee from below Fees paid (\$)				
100 =	/ 50 = (round up to whole numb	per) <b>X</b>				
Large Entity Small Enti Fee Fee Fee Fo Code (\$) Code (\$	ee <u>Fee Description</u> : Application size fee for					
1082 250 2082 12 1083 250 2083 12	25 Utility 25 Design 25 Plant 25 Reissue					
	•	SUBTOTAL (3) \$ 0.00				

#### **FEE CALCULATION (continued)** 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) Large Entity Small Entity Fee Fee Fee Fee Code **Fee Description** (\$) Code (\$) 1051 130 2051 65 Surcharge - late filing fee or oath 1052 50 2052 25 Surcharge - late provisional filing fee or cover sheet 1053 130 1053 130 Non-English specification For filing a request for ex parte reexamination 2,520 1812 2,520 1812 8,800 Request for inter parties reexamination 8,800 1813 1813 1804 920\* 1804 920\* Requesting publication of SIR prior to Examiner action 1.840\* Requesting publication of SIR after Examiner action 1805 1,840\* 1805 2251 Extension for reply within first month 1251 120 60 1252 450 2252 225 Extension for reply within second month 1253 1,020 2253 510 Extension for reply within third month Extension for reply within fourth month 1254 1,590 2254 795 1,080 2255 Extension for reply within fifth month 1255 2,160 1401 2401 250 **Notice of Appeal** 500 1402 500 2402 250 Filing a brief in support of an appeal 1403 1.000 2403 500 Request for oral hearing Petition to institute a public use proceeding 1451 1,510 1451 1,510 2452 250 Petition to revive – unavoidable 1452 500 Petition to revive - unintentional 1453 2453 750 1,500 1501 1,400 2501 700 Utility issue fee (or reissue) 400 1502 2502 Design issue fee 800 1503 2503 550 Plant issue fee 1100 1462 400 1462 400 Petitions to the Commissioner (CFR 1.17(f) Group I) 1463 200 1463 200 Petitions to the Commissioner (CFR 1.17(g) Group II) 130 Petitions to the Commissioner (CFR 1.17(h) Group III) 1464 130 1464 1807 1807 50 Processing fee under 37 CFR 1.17(q) 50 1806 180 1806 180 **Submission of Information Disclosure Stmt** 8021 40 8021 40 Recording each patent assignment per property (times number of properties) 395 For filing a submission after final rejection 1809 790 2809 (see 37 CFR 1.129(a)) 1814 130 2814 65 **Statutory Disclaimer** 395 For each additional invention to be examined 1810 790 2810 (see 37 CFR 1.129(b)) 395 1801 790 2801 Request for Continued Examination (RCE) 1802 900 1802 900 Request for expedited examination of a design application 1504 300 1504 300 Publication fee for early, voluntary, or normal pub. 1505 300 1505 300 Publication fee for republication 1803 130 1803 130 Request for voluntary publication or republication 1808 130 1808 130 Processing fee under 37 CFR 1.17(i) (except provisionals) Acceptance of unintentionally delayed claim for priority 1,370 1454 1,370 1454 Other fee (specify) Other fee (specify) **SUBTOTAL (4) \$ 0.00** \*Reduced by Basic Filing Fee Paid **SUBMITTED BY:** Lester J. Vincent Typed or Printed Name: Date: <u>January 31, 2007</u> Signature: **Telephone Number:** 408-720-8300 Reg. Number: <u>31,460</u>

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



rney Docket No.: 42P16112

## **PATENT**

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

Vincent Zimmer, et al.

Application No.: 10/561,049

371(c) Date: September 28, 2006

For: METHOD FOR FIRMWARE

VARIABLE STORAGE WITH

EAGER COMPRESSION, FAILSAFE EXTRACTION AND RESTART

TIME COMPRESSION SCAN

Examiner: Not Yet Assigned

Art Unit: 2186

Christopher P. Marshall
Name of Person Mailing Correspondence

1 31 2007
Signature

Date

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

# **INFORMATION DISCLOSURE STATEMENT**

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

XXX	37 C.F.R. §1.97(b).
	37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is <u>one</u> of the following:
	A statement pursuant to 37 C.F.R. §1.97(e) or
	A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
	37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:
	(1) A statement pursuant to 37 C.F.R. §1.97(e); and

(2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for

submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: January 31, 2007

Lester J. Vincent Reg. No. 31,460

12400 Wilshire Boulevard Seventh Floor Los Angeles, California 90025 (408) 720-8300

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FEB 0 5 2007				Examiner Name	
Sheet 1 of		2	Attorney Docket Number	42P16112	
TADBMARK!		U.S. PAT	ENT DOCUMENTS		-
Examiner Cite No.¹		Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
	Numbe	er-Kind Code <sup>2</sup> (If known)			Figures Appear
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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	6
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Examiner	Date Considered	
Signature		

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

# Complete if Known Substitute for Form 1449/PTO **Application Number** 10/561,049 INFORMATION DISCLOSURE Filing Date September 28, 2006 STATEMENT BY APPLICANT First Named Inventor: Vincent Zimmer (use as many sheets as necessary) Art Unit 2186 **Examiner Name** Attorney Docket Number 42P16112 of 2 Sheet NON PATENT LITERATURE DOCUMENTS $\mathsf{T}^2$ Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the Examiner item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue Initials\* No<sup>1</sup> number(s), publisher, city and/or country where published PCT Notification Concerning Transmittal of Copy of International Preliminary Report on Patentability (Chapter 1 of the Patent Cooperation Treaty) (PCT Rule 44bis.1(c)) for PCT Counterpart Application No. PCT/US2004/016585, 8 pgs. (January 5, 2006)

Examiner	Date	
Signature	Considered	

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English Translation is attached.
This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete his form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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# Summary of USPTO Kind Codes Used on Documents Published Beginning January 2, 2001

WIPO ST.16 Kind Codes	Kind of document	Comments
, A1	Patent Application Publication	Pre-grant publication available March 2001
A2	Patent Application Publication (Republication)	Pre-grant publication available March 2001
А9	Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
B1	Patent	No previously published pre-grant publication
B2	Patent	Having a previously published pre-grant publication and available March 2001
C1, C2, C3	Reexamination Certificate	Previously used codes B1 and B2 are now used for granted Patents
E	Reissue Patent	No change
Н	Statutory Invention Registration (SIR)	No change
PI	Plant Patent Application Publication	Pre-grant publication available March 2001
P2	Plant Patent	No previously published pre-grant publication
Р3	Plant Patent	Having a previously published pre-grant publication and available March 2001
P4	Plant Patent Application Publication (Republication)	Pre-grant publication available after March 2001
P9	Plant Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
S ·	Design Patent	No change